



Core Pacific – Yamaichi International (HK) Ltd. / (CPYI) / 京華山一國際 (香港) 有限公司

Fax 傳真: 2877-2517 Attn 收件: Settlement Department / 交收部

Date 日期: _____

Client Stock Instruction – (Deposit/Withdrawal/Transfer) Form 客戶股票指示-(存入股票/提取股票/轉移)表格**(☐ Withdrawal 提貨 / ☐ Deposit 存貨 / ☐ Transfer 轉帳)**

CLIENT NAME 客戶姓名: _____ ACCOUNT NO. 客戶號碼: _____

☐ Stock Option
股票期權

☐ HK STOCK 香港股票 ☐ OVERSEAS STOCK 國外股票 (State Market 請註明市場: _____) ☐ BOND 債券 (State Market 請註明市場: _____)					
☐ SI 交收指示 ☐ ISI 投資者交收指示 (For HK Stock Only 僅適用於香港股票)					
☐ Free of Delivery (FOP) 毋須付款 ☐ Delivery Versus Payment (DVP) 貨銀對付 (State Amount * if choose DVP 如選擇 DVP 交易, 請註明金額 *)					
NAME OF COUNTERPARTY 對手名稱: _____					
COUNTERPARTY CCASS NO. 對手中央結算號碼: _____ (For HK Stock Only 僅適用於香港股票)					
OTHER DETAILS 其他資料: _____					
CONTACT PERSON & CONTACT TEL. NO. 聯絡人及聯絡電話: _____					
BENEFICIARY NAME 收受人姓名: _____					
REMARKS 備註: _____					
VALUE DATE 生效日期: _____					
☐ Physical Withdrawal 現貨提取 (Confirmation call by CS if collection by 3 rd party) Authorized Person's Name (If necessary) 被授權人姓名 (如適用) : _____ Remark: Original Instruction is required Authorized Person's ID No. (If necessary) 被授權人身份證明號碼 (如適用) : _____ 備註: 正本必須交回公司					
# TRANSFER 轉帳:					
FROM 由 ☐ CPYI "Securities 證券" ☐ CPYI "Stock Options 股票期權" ACCOUNT NO. 客戶號碼: _____					
TO 至 ☐ CPYI "Securities 證券" ☐ CPYI "Stock Options 股票期權" ACCOUNT NO. 客戶號碼: _____					
SECURITIES CODE 證券代號	SECURITIES NAME 證券名稱	QUANTITY 數量	* DVP AMOUNT 貨銀對付金額	For Office Use	
				CCASS Depos/ Other Remarks	Hold bf settle ISI DvP on-hold
CLIENT SIGNATURE 客戶簽署			AE SIGNATURE 業務主任簽署		APPROVED BY
_____ Tel/Ext. No. _____ _____ AE Name & Code _____			Team Head Signature		
			Risk Management Signature	Head of LCD Signature	
			Signature Verification (AOT)	CEO Signature	
FOR OFFICE USE ONLY					
Availability	CCASS		BOS		Reviewed By
Checked By	Input By	Approved By	Input By	Approved By	Head of Settlement
Customer Services - Confirmed by:					Ext. No.:
By Phone Date :					Time: